

Case Docket No. ECC.001CP1

I hereby certify that this correspondence and all

marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents.

September 21, 2004

(Date)

P.O. Box 1450, Alexandria, VA 22313-1450, on

Date: September 21, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Paul Polizzotto

App. No.

10/785,380

Filed

February 24, 2004

For

APPARATUS AND

METHODS FOR REDUCING

CONTAMINANTS IN WATER

SYSTEMS USING INFORMATION DISTRIBUTION

Group Art Unit:

USING

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

ATTENTION: MAIL STOP MISSING PARTS

3629

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on July 21, 2004, enclosed are:

- (X) An executed Declaration by Inventor.
- (X) A Notice to File Missing Parts.
- (X) Replacement drawings in 10 pages.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

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\$

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Date: September 21, 2004

ADDITIONAL CLAIM FEE	\$	338 .
SURCHARGE 37 CFR 1.16(e)	\$ +	- 130
TOTAL OF ABOVE CALCULATIONS	\$	1,238
REDUCTION BY ½ FOR FILING BY SMALL ENTITY.		
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached	\$ -	0
TOTAL FEES SUBMITTED HEREWITH	\$	1,238

- (X) A check in the amount of \$1,238 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

David N. Weiss

Registration No. 41,371

Attorney of Record

Customer No. 20,995

(310) 551-3450

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United States Patent and Trademark Office

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS COMMISSIONER FOR PATENTS Alexandria, Vinguia 22313-1450 www.uspia.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/785,380

02/24/2004

Paul Polizzotto

ECC.001CP1

20995 KNOBBE MARTENS OLSON & BEAR LLP 2040 MAIN STREET

FOURTEENTH FLOOR **IRVINE. CA 92614**

CONFIRMATION NO. 6578

FORMALITIES LETTER

OC000000013297458

Date Mailed: 07/21/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/27/2004 SZEWDIE1 00000005 10785380

04 FC:1202

770.00 OP 01 FC:1001 130.00 OP 02 FC:1051 86.00 OP 03 FC:1201

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

252.00 OP

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

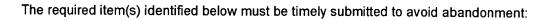
- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch). See Figures(s) 4A,4B.

The applicant needs to satisfy supplemental fees problems indicated below.



• Additional claim fees of \$338 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1238 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$338
 - \$86 for 1 independent claims over 3.
 - \$252 for 14 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

M-MAILE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE